



STATE OF ALABAMA
DEPARTMENT OF EDUCATION




Eric G. Mackey, Ed.D.
State Superintendent of Education

May 25, 2021

MEMORANDUM

TO: City and County Superintendents of Education

FROM: Eric G. Mackey 
State Superintendent of Education

SUBJECT: American Rescue Plan Elementary and Secondary School Emergency Relief (ARP ESSER)

The Alabama State Department of Education (ALSDE) has received its allocation from the American Rescue Plan Elementary and Secondary School Emergency Relief (ARP ESSER) fund to support the efforts of local education agencies (LEAs) to address the impact of the pandemic on Alabama’s students.

ARP ESSER funds were awarded to Alabama in the same proportion as the state received under Title I, Part A, of the *Elementary and Secondary Education Act of 1965* as amended in Fiscal Year 2020. The total amount allocated to Alabama for the ARP ESSER funds is \$2,020,070,466—90% of which will be awarded to LEAs.

Under the ARP ESSER, LEAs must reserve at least 20 percent of funds to address learning loss through the implementation of evidence-based interventions and ensure those interventions respond to students’ social, emotional, and academic needs and address the disproportionate impact of COVID-19 on underrepresented student subgroups. The allowable interventions for the 20% reservation include summer learning and enrichment programs, extended-day or extended-year programs, and after-school programs. The remaining funds can be used for a wide range of activities to address the needs of LEAs arising from the pandemic similar to ESSER I and ESSER II.

The application process for the ARP ESSER funds will take place through a simplified three-step process allowing LEAs to have expedited access to the funds as follows:

STEP 1: The Superintendent for each LEA must sign the attached assurances document and email it to ARPapps@alsde.edu.

STEP 2: Each LEA will develop an In-Person Instruction Plan or modify the previously developed Reopening Plan, as outlined in the ARP ESSER Relief Plan, and have the plan available on the LEA’s website.

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City and County Superintendents of Education
Page 2
May 25, 2021

STEP 3: Each LEA must complete the ARP ESSER electronic Grant Application Process (eGAP) application providing the ALSDE with a description, timeline, and budget for all expenditures.

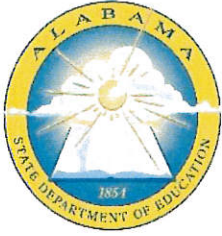
More specific LEA requirements are forthcoming as we receive final guidance from the United States Department of Education. Additional supports will be provided to LEAs including office hours and technical assistance. This information will be covered in the superintendents' webinar scheduled for May 25, 2021, as well as the May 26, 2021, webinar for other central office support staff.

If you have any questions or if any assistance is needed, please contact Mrs. Shanthia Washington by email at shanthia.washington@alsde.edu or Dr. Molly Killingsworth by email at mkillingsworth@alsde.edu.

EGM/MK/JRE

Attachments

FY21-2080



State of Alabama America Rescue Plan (ARP) ESSER Assurances

LEA Name: _____

PROGRAMMATIC, FISCAL, AND REPORTING ASSURANCES	
<input type="checkbox"/>	The local educational agency (LEA) assures it will submit a plan to the Alabama State Department of Education (ALSDE) that contains such information as ALSDE may reasonably require, including all information required by the U.S. Department of Education’s (ED) <u>Interim Final Requirements</u> on ARP ESSER. The LEA will submit the plan by the date established by ALSDE.
<input type="checkbox"/>	The LEA will use ARP ESSER funds for activities allowable under section 2001(e) of the ARP and will reserve at least 20% of its ARP ESSER funds to address learning loss through the implementation of evidence-based interventions.
<input type="checkbox"/>	The LEA will comply with the maintenance of equity provision in section 2004(c) of the ARP.
<input type="checkbox"/>	<p>The LEA assures either:</p> <p style="margin-left: 20px;">(a) It will, within 30 days of receiving ARP ESSER funds, develop and make publicly available on the LEA’s website a plan for the safe return of in-person instruction and continuity of services as required in section 2001(i)(1) of the ARP and in ED’s <u>Interim Final Requirements</u>, or</p> <p style="margin-left: 20px;">(b) It developed and made publicly available on the LEA’s website such a plan that meets statutory requirements before the enactment of the ARP that meets ARP requirements. (ARP was enacted March 11, 2021).</p> <p>The LEA also assures that before making the plan publicly available, the LEA must seek public comment on the plan and take such comments into account in the development of the plan.</p>
<input type="checkbox"/>	<p>The LEA will comply with all reporting requirements at such time and in such manner and containing such information as the ALSDE or ED may reasonably require including on matters such as:</p> <ul style="list-style-type: none"> • How the LEA is developing strategies and implementing public health protocols including, to the greatest extent practicable, policies and plans in line with the CDC guidance related to addressing COVID-19 in schools; • Overall plans and policies related to State support for return to in-person instruction and maximizing in-person instruction time, including how funds will support a return to and maximize in-person instruction time, and advance equity and inclusivity in participation in in-person instruction; • Data on each school’s mode of instruction (remote, hybrid, in-person) and conditions; • LEA uses of funds to meet students’ social, emotional, and academic needs, including through summer enrichment programming and other evidence-based interventions, and how they advance equity for underserved students; • LEA uses of funds to sustain and support access to early childhood education programs; • Impacts and outcomes (disaggregated by student subgroup) through use of ARP ESSER funding (e.g., quantitative and qualitative results of ARP ESSER funding, including on personnel, student learning, and budgeting at the school and district level); • Student data (disaggregated by student subgroup) related to how the COVID-19 pandemic has affected instruction and learning; • Requirements under the Federal Financial Accountability Transparency Act (FFATA); and • Additional reporting requirements as may be necessary to ensure accountability and transparency of ARP ESSER funds.
<input type="checkbox"/>	The LEA assures that records pertaining to the ARP ESSER award under 2 C.F.R. § 200.334 and 34 C.F.R. § 76.730, including financial records related to use of grant funds, will be retained separately from other grant funds, including funds an LEA receives under the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) and the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSA Act).

<input type="checkbox"/>	The LEA will cooperate with any examination of records with respect to such funds by making records available for inspection, production, and examination, and authorized individuals available for interview and examination, upon the request of (i) ED and/or its Inspector General; (ii) any other federal agency, commission, or department in the lawful exercise of its jurisdiction and authority; or (iii) the ALSDE.
<input type="checkbox"/>	The LEA will use ARP ESSER funds for purposes that are reasonable, necessary, and allocable under the ARP.
<input type="checkbox"/>	The LEA will provide services and assistance from ARP ESSER funds to students and staff during the period of funds availability. (March 13, 2020 – September 30, 2024)
OTHER ASSURANCES AND CERTIFICATIONS	
<input type="checkbox"/>	The LEA will comply with all applicable assurances in OMB Standard Forms 424B and D (Assurances for Non-Construction and Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations.
<input type="checkbox"/>	The LEA assures that, with respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or renewal of Federal grants under this program.
<input type="checkbox"/>	The LEA will include in its local application a description of how the LEA will comply with the requirements of section 427 of GEPA (20 U.S.C. 1228a). The description must include information on the steps the LEA proposes to take to permit students, teachers, and other program beneficiaries to overcome barriers (including barriers based on gender, race, color, national origin, disability, and age) that impede equal access to, or participation in, the program.
<input type="checkbox"/>	The LEA will take all necessary steps to allow every student, teacher, and other program beneficiary to participate in the ARP ESSER program. If any barrier arises that impedes equal access to, or participation in the ARP ESSER program, the LEA will quickly address and resolve those issues.
<input type="checkbox"/>	The LEA will comply with all applicable requirements of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (sometimes referred to as the Uniform Guidance, or the Uniform Grant Guidance (UGG)).
<input type="checkbox"/>	The LEA will comply with the provisions of all applicable acts, regulations and assurances; the following provisions of Education Department General Administrative Regulations (EDGAR) 34 CFR parts 76, 77, 81, 82, 84, 97, 98, and 99; the OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and the Uniform Guidance in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.
GEPA ASSURANCES	
<input type="checkbox"/>	The LEA will administer each program covered by the application in accordance with all applicable statutes, regulations, program plans, and applications, including, but not limited to federal education program laws, the General Education Provisions Act, the Education Department General Administrative Regulations, and the Uniform Grant Guidance.
<input type="checkbox"/>	The LEA assures that control of funds provided to the LEA, and title to property acquired with those funds, will be in a public agency and that a public agency will administer those funds and property.
<input type="checkbox"/>	The LEA will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, Federal funds.
<input type="checkbox"/>	The LEA will make reports to the ALSDE and to ED as may reasonably be necessary to enable the ALSDE and ED to perform their duties and that LEA will maintain such records, including the records required under <u>20 U.S.C. 1232f</u> , and provide access to those records, as the ALSDE or ED deem necessary to perform their duties.
<input type="checkbox"/>	The LEA will provide reasonable opportunities for the participation by teachers, parents, and other interested agencies, organizations, and individuals in the planning for and operation of ED programs.
<input type="checkbox"/>	The LEA assures that any application, evaluation, periodic program plan or report relating to an ED program will be made readily available to parents and other members of the general public.

<input type="checkbox"/>	<p>The LEA assures that in the case of any project involving construction—</p> <ul style="list-style-type: none"> - the project is not inconsistent with overall State plans for the construction of school facilities, and - In developing plans for construction, due consideration will be given to excellence of architecture and design and to compliance with standards prescribed by the Secretary of Education under section 504 of the Rehabilitation Act of 1973 in order to ensure that facilities constructed with the use of Federal funds are accessible to and usable by individuals with disabilities.
<input type="checkbox"/>	<p>The LEA assures they have adopted effective procedures for acquiring and disseminating to teachers and administrators participating in an ED program significant information from educational research, demonstrations, and similar projects, and for adopting, where appropriate, promising educational practices developed through such projects.</p>
<input type="checkbox"/>	<p>The LEA assures that none of the funds expended will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the purchasing entity or its employees or any affiliate of such an organization.</p>

The LEA Superintendent certifies to the best of his/her knowledge and belief that all the information and data in this application are true and correct. The LEA Superintendent acknowledges and agrees that failure to comply with all assurances and certifications in this application, all relevant provisions and requirements of the American Rescue Plan Act of 2021 (ARP Act), Pub. L. No. 117-2 (March 11, 2021), or any other applicable law or regulation may result in liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR Part 180, as adopted and amended as regulations of the Department in 2 CFR Part 3485; and 18 U.S.C. § 1001, as appropriate, and other enforcement actions.

LEA Superintendent (Typed Name)

Telephone Number

LEA Superintendent Signature

Date

ALABAMA STATE DEPARTMENT OF EDUCATION
American Rescue Plan Act (ARPA) ESSER III
FY 2021 ALLOCATION

CFDA # 84.425U

Revenue/Fund Source Code 4298

LEAID	LEA	Allocation	Reservation for Learning Loss (20%) Special Use Code 0067	LEAID	LEA	Allocation	Reservation for Learning Loss (20%) Special Use Code 0067
001	Autauga County	16,498,565	3,299,713	105	Anniston City	12,120,857	2,424,171
002	Baldwin County	45,265,934	9,053,187	106	Arab City	2,576,395	515,279
003	Barbour County	7,933,348	1,586,670	107	Athens City	5,711,862	1,142,372
004	Bibb County	8,758,121	1,751,624	109	Attalla City	2,621,315	524,263
005	Blount County	12,879,219	2,575,844	110	Auburn City	7,216,180	1,443,236
006	Bullock County	9,028,304	1,805,661	113	Bessemer City	16,932,894	3,386,579
007	Butler County	10,711,738	2,142,348	114	Birmingham City	119,071,519	23,814,304
008	Calhoun County	15,490,437	3,098,087	115	Boaz City	4,289,800	857,960
009	Chambers County	10,618,987	2,123,797	116	Brewton City	3,282,634	656,527
010	Cherokee County	7,751,462	1,550,292	121	Chickasaw City	4,908,690	981,738
011	Chilton County	14,934,496	2,986,899	125	Cullman City	4,593,314	918,663
012	Choctaw County	5,937,897	1,187,579	126	Daleville City	4,127,478	825,496
013	Clarke County	10,225,684	2,045,137	127	Decatur City	20,061,922	4,012,384
014	Clay County	4,443,178	888,636	128	Demopolis City	5,828,088	1,165,618
015	Cleburne County	4,619,500	923,900	130	Dothan City	25,173,276	5,034,655
016	Coffee County	6,272,198	1,254,440	131	Elba City	1,952,596	390,519
017	Colbert County	6,348,112	1,269,622	132	Enterprise City	8,331,980	1,666,396
018	Conecuh County	8,749,647	1,749,929	133	Eufaula City	13,960,344	2,792,069
019	Coosa County	3,103,430	620,686	137	Fairfield City	5,555,787	1,111,157
020	Covington County	6,910,704	1,382,141	141	Florence City	10,127,736	2,025,547
021	Crenshaw County	5,673,079	1,134,616	143	Fort Payne City	6,472,679	1,294,536
022	Cullman County	17,690,388	3,538,078	144	Gadsden City	21,537,886	4,307,577
023	Dale County	5,038,498	1,007,700	146	Geneva City	4,153,885	830,777
024	Dallas County	21,938,509	4,387,702	152	Gulf Shores City	2,480,065	496,013
025	DeKalb County	26,581,785	5,316,357	154	Guntersville City	3,087,732	617,546
026	Elmore County	17,903,069	3,580,614	155	Haleyville City	3,697,927	739,585
027	Escambia County	16,042,078	3,208,416	156	Hartselle City	2,216,598	443,320
028	Etowah County	18,062,517	3,612,503	157	Homewood City	2,332,067	466,413
029	Fayette County	5,670,940	1,134,188	158	Hoover City	7,861,043	1,572,209
030	Franklin County	8,207,368	1,641,474	159	Huntsville City	59,123,303	11,824,661
031	Geneva County	9,628,490	1,925,698	162	Jacksonville City	3,755,886	751,177
032	Greene County	7,456,025	1,491,205	163	Jasper City	4,970,669	994,134
033	Hale County	8,261,836	1,652,367	165	Lanett City	4,642,975	928,595
034	Henry County	6,012,179	1,202,436	167	Leeds City	2,619,015	523,803
035	Houston County	11,575,617	2,315,123	168	Linden City	2,894,152	578,830
036	Jackson County	11,122,048	2,224,410	169	Madison City	5,278,563	1,055,713
037	Jefferson County	66,444,325	13,288,865	171	Midfield City	3,186,304	637,261
038	Lamar County	4,672,050	934,410	175	Mountain Brook City	0	0
039	Lauderdale County	9,635,971	1,927,194	176	Muscle Shoals City	2,297,267	459,453
040	Lawrence County	11,171,753	2,234,351	177	Pelham City	2,543,888	508,778
041	Lee County	22,224,031	4,444,806	178	Oneonta City	1,458,582	291,716
042	Limestone County	15,254,847	3,050,969	179	Opelika City	12,451,910	2,490,382
043	Lowndes County	11,322,440	2,264,488	180	Opp City	4,786,041	957,208
044	Macon County	10,377,672	2,075,534	181	Oxford City	6,637,220	1,327,444
045	Madison County	20,947,182	4,189,436	182	Ozark City	7,467,939	1,493,588
046	Marengo County	5,746,633	1,149,327	183	Pell City	7,720,498	1,544,100
047	Marion County	9,180,160	1,836,032	184	Phenix City	22,357,455	4,471,491
048	Marshall County	22,865,462	4,573,092	185	Piedmont City	2,883,635	576,727
049	Mobile County	227,066,501	45,413,300	186	Pike Road City	1,462,823	292,565
050	Monroe County	10,328,643	2,065,729	187	Saraland City	3,545,226	709,045
051	Montgomery County	130,519,989	26,103,998	188	Roanoke City	2,906,095	581,219
052	Morgan County	11,429,435	2,285,887	189	Russellville City	6,710,723	1,342,145
053	Perry County	8,933,481	1,786,696	190	Scottsboro City	3,984,765	796,953
054	Pickens County	8,507,075	1,701,415	191	Selma City	22,721,683	4,544,337
055	Pike County	7,031,295	1,406,259	192	Sheffield City	4,174,839	834,968
056	Randolph County	6,642,563	1,328,513	193	Sylacauga City	4,738,262	947,652
057	Russell County	11,769,050	2,353,810	194	Talladega City	8,048,118	1,609,624
058	Saint Clair County	10,861,330	2,172,266	195	Tallasse City	2,593,350	518,670
059	Shelby County	15,967,907	3,193,581	196	Satsuma City	1,758,943	351,789
060	Sumter County	8,052,065	1,610,413	197	Tarrant City	5,317,493	1,063,499
061	Talladega County	15,416,147	3,083,229	198	Thomasville City	2,294,753	458,951
062	Tallapoosa County	7,416,110	1,483,222	199	Troy City	9,343,629	1,868,726
063	Tuscaloosa County	30,394,814	6,078,963	200	Tuscaloosa City	28,106,998	5,621,400
064	Walker County	18,430,671	3,686,134	201	Tuscumbia City	2,170,377	434,075
065	Washington County	6,349,802	1,269,960	202	Vestavia Hills City	0	0
066	Wilcox County	10,392,966	2,078,593	204	Winfield City	1,947,561	389,512
067	Winston County	5,644,636	1,128,927	205	Trussville City	0	0
101	Albertville City	15,843,273	3,168,655	800	Accel Academy	1,329,568	265,914
102	Alexander City	8,366,633	1,673,327	801	University Charter School	1,107,090	221,418
103	Alabaster City	6,481,359	1,296,272	802	LEAD Academy	1,571,515	314,303
104	Andalusia City	4,481,219	896,244	803	Legacy Prep	850,422	170,084
				805	I3 Academy	2,504,458	500,892
					TOTAL	1,818,063,419	363,612,687