# Request for Proposal (RFP) RFP ALSDE 2025-04 Alabama State Department of Education Professional Learning Section

#### ALABAMA STATE DEPARTMENT OF EDUCATION



### **Request for Proposal**

# RFP ALSDE 2025-04

Alabama Principal Leadership Development System (APLDS) Evaluation System
Alabama State Department of Education

#### **Professional Learning Section**

Inquiries and response submissions related to this RFP are to be EMAILED to:

Cindy Gillespie
Office of Operations

Alabama State Department of Education

Email: <u>rfpproposals@alsde.edu</u>

#### Deadline: NEW ELECTRONIC PROCEDURES FOR SUBMISSION

Proposals must be received no later than 4:00 p.m. on February 10, 2025

It is required that each vendor clearly note the RFP Number & Vendor Name in the subject line and email to: <a href="mailto:rfpproposals@alsde.edu">rfpproposals@alsde.edu</a>

The proposal package must contain the following an be submitted electronically.

- 1. The proposal must be signed by an official authorized to legally bind the vendor to the information provided.
- 2. Must be currently registered with The Alabama Department of Finance, Division of Procurement as a State Vendor and provide vendor number. AL Division of Procurement
- 3. The vendor must complete the affidavit for business entity/employer/vendor. Verification of enrollment in Everify should be presented on the form found in Appendix A.

Pursuant to Alabama Administrative Code 355-4-3.03(12), proposal openings are not open to the public.

## Section 1.00 Administrative Overview

- 1.1 Purpose and Background
- 1.2 Anticipated Timetable
- 1.3 Proposal Evaluation
- 1.4 Conditions and Terms

# Section 2.00 Scope

- 2.1 Scope of Vendor's Work and Responsibilities
- 2.2 Scope of ALSDE Work and Responsibilities

## Section 3.00 General Requirements

3.1 Requirements of Proposal

# Section 4.00 General Terms and Conditions

- 4.1 Governance
- 4.2 Immigration
- 4.3 Conflict of Interest
- 4.4 Discrimination

#### **Section 1.00 Administrative Overview**

#### 1.1 Purpose and Background

# **Purpose:**

The Alabama State Department of Education (ALSDE) is seeking proposals from vendors to provide the development of the Alabama Principal Leadership Development System (APLDS) Evaluation System in accordance with the School Principal Leadership and Mentoring Act (§§ 16-6I-1 — 16-6I-7). The ALSDE is seeking to contract for: Option 1. Professional Development and Consultation Services and/or Option 2. Product Development. Any vendor must have national expertise in principal evaluation systems (e.g., authored national publications), successful history of performance, and extensive knowledge of selecting evaluation system measures, determining the structure of evaluation systems, ensuring data integrity and transparency, utilizing evaluation systems to provide actionable feedback, and using principal evaluation results to improve practice.

The evaluation system must be aligned to the Alabama Standards for School Leadership and Alabama Principal Leadership Framework. Additionally, the evaluation system must include measures of student growth and achievement as well as the following domains of principal effectiveness:

- 1. Visionary Leadership
- 2. Instructional Leadership
- 3. Managerial and Operational Leadership
- 4. Relational Leadership
- 5. Innovative Leadership

#### **Background:**

The School Principal Leadership and Mentoring Act (§§ 16-6I-1 — 16-6I-7) was signed into law in June 2023 to:

- 1. Create and implement the Alabama Principal Leadership Development System for public K-12 education
- 2. Provide for the creation and implementation of a mentoring program for new principals and a continuing professional learning program for principals and assistant principals
- 3. Provide annual stipends for principals and assistant principals who satisfactorily complete the program requirements of the Alabama Principal Leadership Development System

The Alabama Principal Leadership Development System is a comprehensive system of learning and support for school administrators' leadership development.

#### **Program Vision**

Every school is equipped with an effective leader who successfully participates in and engages with the Alabama Principal Leadership Development System.

#### **Program Mission**

The Alabama Principal Leadership Development System empowers district and school leaders through comprehensive support to enhance visionary, instructional, managerial and operational, relational, and innovative leadership to increase student achievement, growth, and school climate.

#### **Program Measures of Success**

- Principal Retention
- School Climate
- Student Outcomes (Achievement, Growth)

#### **Program Components**

- Alabama Standards for School Leadership
- Alabama Principal Leadership Framework
- APLDS Evaluation System
- High-Quality Professional Learning
- Alabama New Principal Mentoring Program
- Alabama Leadership Academy

Applicants who wish to address more than one Option listed below must submit a separate proposal for each Option.

# **Option 1: Professional Development and Consultation Services**

Facilitate highly interactive design sessions with the Design Team stakeholder group to provide an overview of current research regarding school administrator evaluation systems, guide the selection of a rubric template after reviewing other state rubrics, facilitate team selection of guidance preferences for business rules (e.g., score use, differentiation), and guide the review and feedback process for rubrics and decision rules based on input

### **Option 2: Product Development**

Produce the following evaluation system documents that are representative of current research and based on input and feedback from the Design Team stakeholder group:

- Principal evaluation rubric
- Assistant principal evaluation rubric
- Principal evaluation guidance document with business rules for differentiation and adaptation
- Assistant principal evaluation guidance document with business rules for differentiation and adaptation

There is no requirement to address both Options. Applicants may address one or more Options and must submit a separate proposal for each Option he or she chooses to address. The ALSDE may choose to contract for Option 1 and/or Option 2.

### 1.2 **Anticipated Time Table**

January 30, 2025 Question Submission Deadline

January 31, 2025 Question Responses Due

February 10, 2025 Proposal Submission Deadline

NOTE: All Questions must be emailed to <a href="maileo:rfpqanda@alsde.edu">rfpqanda@alsde.edu</a> by the deadline noted above. All Q & A will be posted in STAARS and Alabama Achieves website <a href="maileo:ALSDE-Alabama Achieves">ALSDE - Alabama Achieves</a> on the designated date noted above.

### 1.3 Proposal Evaluation

An Evaluation Team will review the proposals and make recommendations. The criteria listed below will be used to evaluate the proposals for the purpose of ranking them in relative position based on how fully each proposal meets the requirements of this RFP.

#### **Evaluation Criteria:**

Vendor qualifications and experience 35 points

Proposed Plan & Approach 35 points

Budget/Cost Proposal 30 points

#### **Best and Final Offers:**

The ALSDE may either accept any vendor's initial proposal by award of a contract or enter into discussions with vendor(s) whose proposal(s) are deemed to be reasonably acceptable consideration for award. After discussions are concluded, vendor(s) may be allowed to submit a "Best and Final Offer" for consideration in a manner and method prescribed by the ALSDE. By submitting proposal(s), each vendor accepts and agrees to all conditions and requirements herein.

The ALSDE will make all decisions regarding evaluation of the proposal(s). The ALSDE reserves the right to judge and determine whether requests are compliant with and has satisfactorily met the requirements of the RFP. The ALSDE reserves the right to waive technical and other defects if, in its judgment, the interest of the ALSDE so requires. Any further information disclosed about the RFP during this process will be provided to all vendors in a manner and method prescribed by the ALSDE.

#### **Rejection of Proposal:**

ALSDE reserves the right to reject any or all proposals which are deemed to be non-responsive, late in submission, or unsatisfactory in any way. ALSDE shall have no obligation to award a contract for any work, goods and/or services as a result of this RFP.

Qualified bidders aggrieved in connection with the with the solicitation of a contract may protest to the Chief Procurement Officer. *See generally* State of Alabama Department of Finance Administrative Code Regulations at AL Department of Finance | AC 355-4-1-01.

## Confidentiality:

All information contained in the RFP is considered to be the exclusive property of the ALSDE. Recipients of this RFP are not to disclose any information contained within the RFP unless such information is publicly available. This RFP is provided for the sole purpose of allowing Vendors to respond to these specifications.

#### **Selection Process:**

For each Option, the ALSDE will select the vendor(s) that provide the most technically sound and cost-effective proposal(s) that best fit the needs of the ALSDE. Final selection of the successful vendor(s) will not be based solely on cost. The vendor product(s) will be evaluated primarily on the scope of the activities linked to associated costs as detailed in the RFP. RFPs will be reviewed to ascertain that minimum requirements have been met. The ALSDE reserves the right to conduct discussions with potential vendors in order to clarify information contained in their proposals, but the ALSDE has no obligation to do so. This may include requesting a presentation of top finalists for final scoring. The vendor(s) will provide notice to the ALSDE any partnership with another firm to provide parts of the solution; however, the vendor(s) must provide management of the partner and is responsible for all project performance. Any subcontractor(s) or partner(s) will be subject to the same vetting process as the vendor(s), and the vendor(s) are responsible for ensuring that each subcontractor acknowledges and is contractually bound by the staffing plan and other commitments listed in this RFP.

Unless provided by law, nothing in this RFP shall be construed to create any legal obligation on the part of ALSDE or any respondents. ALSDE reserves the right, in its sole discretion, to amend, suspend, terminate, or reissue the RFP in whole or in part, at any stage. In no event shall ALSDE be liable to respondents for any cost or damages incurred in connection with the RFP process, including, but not limited to, any and all costs of preparing a response to this RFP or any other costs incurred in reliance on this RFP. No respondent shall be entitled to repayment from ALSDE for any costs, expenses, or fees related to the RFP. All supporting documentation submitted in response to the RFP will become the property of the ALSDE. Respondents may also withdraw their interest in the RFP, in writing, at any point in time, as more information becomes known. If, within the confines of this RFP, the vendor(s) provide intellectual property be it understood that all RFP contents are subject to *Open Records Act* laws and thus are subsequently in the public domain.

#### **Intent to Award**

Division of Procurement will send out an intent to award to participating suppliers, defining the protest period. The Chief Procurement Officer (CPO) is the awarding authority and as such is a signatory on the agreement/contract.

#### **Disclaimer Notice:**

The ALSDE shall not be liable for any costs associated with the preparation of proposals or negotiations of any contract incurred by any party.

#### **Availability of Funds:**

It is expressly understood and agreed that the obligations of the ALSDE to proceed is conditioned upon the continued availability of funds that may be expended for these purposes.

### 1.4 Conditions and Terms

#### **Contract Terms:**

The ALSDE intends to execute an initial contract with Contractor for a period of up to two (2) years, with contract renewals equaling no longer than three (3) additional years, pending written agreement of the vendor and ALSDE dependent upon required state approvals, availability of funds, performance evaluations of the project, at the full discretion of the ALSDE. The contract will commence pending CPO signature, Legislative Review Committee approval, and Governor's signature. Each contract renewal will be presented to the Legislative Oversight Committee for approval.

The vendor(s) shall be fully prepared to commence work after full execution of the contract by parties and the receipt of required governmental approvals.

Proposals should reference each element in the RFP by number on the cover of each copy and be arranged in the same sequence. All fees and costs are to be stated in United States currency. Vendors must reply to each element of the RFP.

### Section 2.00 Scope

### 2.1 Scope of Vendor's Work and Responsibilities

The proposed projects should include:

- Specialized professional services such as consultation and expert review.
- Deliverables to include materials tailored to meet the outlined goals and objectives.
- Travel costs to be included in proposal budget.

The successful applicants should be able to collaborate with the ALSDE to address goals and objectives for the APLDS program and evaluation system. Each applicant shall provide services in compliance with, but not limited to, the School Principal Leadership and Mentoring Act (§§ 16-6I-1 — 16-6I-7).

#### 2.2 Scope of Alabama State Department of Education's Work and Responsibilities

The ALSDE will work collaboratively with the selected vendor(s) to:

- Organize information
- Plan and facilitate meetings with the Design Team stakeholder group
- Collaborate with the evaluator and provide feedback in the development of the professional learning experiences and/or evaluation system products
- Collect and distribute materials

### The ALSDE is responsible for:

- Providing vender with background information about the law and APLDS program
- Communicating with the Design Team stakeholder group
- Keeping vendor(s) informed of initiative changes
- Monitoring the contract and timelines
- Processing and paying invoices

• Articulating deadlines

#### **Section 3.00 General Requirements**

### 3.1 Requirements of Proposal

Any vendor must provide the following mandatory information. <u>Failure to provide this information may be cause for the proposal(s) to be rejected</u>. Qualifications, experience, and cost will be evaluated for contract award. The proposal(s) may be submitted under the same cover with Vendor Requirements and Cost Proposal in two distinct sections. E-verify information is required to be submitted for all employees to include contractors of the vendors if necessary and applicable.

#### Part I

## **Signed Cover Letter:**

The cover letter shall serve as the first page of the vendor's proposal(s). The vendor shall complete the cover letter and attach it to the proposal(s) in response to the RFP. The cover letter must be signed by an official authorized to legally bind the vendor. It will state that the vendor is a legal entity that will meet the specifications. The cover letter must accompany the submitted proposal(s). The letter accompanying the proposal(s) must have original signatures and must include contact numbers and email addresses for the authorized official signing the letters.

#### Part II

#### **Vendor Qualification and Experience:**

Vendor shall provide satisfactory evidence of the vendor's capability to coordinate the types of activities and to provide the services described in the RFP in a timely manner. Special attention should be given to the discussion of qualifications. The discussion shall include a description of the vendor's background and relevant experience as related to the required activities in the RFP.

#### Part III

Vendor shall provide a detailed plan describing how the services will be performed to meet the requirements of the RFP. The description shall encompass the requirements of this RFP. The response must be prepared and organized in a clear and concise manner that is easily understandable.

#### **Vendor Organization:**

Describe your organizational structure and explain how your organization qualifies to be responsive to the requirements of this RFP.

#### **References:**

The vendor shall provide a minimum of three (3) references that can support and validate training and/or projects and outcomes, including names or persons who may be contacted, position of person, addresses, and phone numbers where similar training and/or projects to that described in this RFP have been conducted.

#### **Executive Summary:**

An executive summary is required. This summary will condense and highlight the contents of the vendor's proposal(s).

# Part IV Cost Proposal:

Vendor shall include the fee structure and pricing for the training sessions/program if applicable or requested. The vendor shall submit a cost proposal in addition to other required information.

#### **Subcontractor Disclosure:**

If the execution of work to be performed requires the hiring of subcontractors, you must clearly state this in the bid proposal and provide qualification for such individuals. Subcontractors must be identified and the services they will provide or work they will perform must be clearly defined. The ALSDE will not refuse any proposal based upon use of a subcontractor; however, the ALSDE reserves the right to refuse the subcontractor(s) you have selected. Contractor and associated personnel shall remain solely responsible for the performance of all work, including work that may be subcontracted.

Describe your rationale for utilizing Subcontractors including relevant past experience partnering with stated subcontractor(s). Documents for E-verification of subcontractors are the sole responsibility of the contractor and must be available upon request to ensure compliance.

Subcontractors may not be employees/agents of the ALSDE or LEA, nor any entity or agency receiving education trust funds.

### **Section 4.00 General Terms and Conditions**

#### 4.1 Governance

This RFP and its terms shall be governed and construed according to the laws of the State of Alabama. Any dispute arising out of this RFP shall be brought in the State of Alabama, with venue in Montgomery County, Alabama. Vendors agree to comply with all applicable federal and state laws and regulations.

Per the current FPPM: Non-appropriation and Proration (Section 41-4-144(c)).

# AL Comptroller | Fiscal Policy and Procedures

When funds are not appropriated or otherwise made available to support continuation of performance in a subsequent fiscal period, the contract shall be cancelled, and, to the extent permissible by law, the supplier shall be reimbursed for the reasonable value of any non-recurring costs incurred but not amortized in the price of the supplies or services delivered under the contract. To the extent permissible by law, this cost of cancellation may be paid from any appropriations available for that purpose.

In the event that proration of appropriated funds from which the State is to pay the supplier is declared by the Governor pursuant to Section 41-4-90 of the *Code of Alabama*, the contractor shall have the option, in addition to the other remedies of the contract, of renegotiating the contract to extend or change payment terms or amounts, or terminating the contract. In all circumstances, it is agreed that the terms and commitments of this contract shall not constitute a debt of the State of Alabama in violation of Section 213 of the Constitution of Alabama, as amended.

#### 4.2 Immigration

The proposal must contain a statement that the firm is aware of and in compliance with the requirements of the Beason-Hammon Alabama Taxpayer and Citizen Protection Act; a statement that the vendor is enrolled in the E-Verify as required by Section 31-13-9 (b), *Code of Alabama 1975*, as amended:

# <u>BEASON-HAMMON ALABAMA TAXPAYERAND CITIZEN PROTECTION ACT</u> COMPLIANCE

The Beason-Hammon Alabama Taxpayer and Citizen Protection Act (31-13-1 et seq, Code of Alabama, 1975 as amended by Act 2012-491) regulates illegal immigration in the State of Alabama. All contracts with the State or political subdivision thereof must fully comply with each provision as provided by law.

Any proposal must include a statement that the vendor(s) have knowledge of this law and is in compliance. Before a contract is signed, the vendor(s) awarded the contract must submit a Certificate of Compliance using the form at Appendix A. E-Verify enrollment can be accomplished at the website of the <u>United States Department of Homeland Security</u>.

See Section 10 for additional language required by Section 10(k) of the Act to be included in the contract.

Rev.5-24-13

### 4.3 Conflict of Interest

Any vendor attests that no employee, officer, or agent of the vendor shall participate in the selection, award, or administration of a contract if a real or apparent conflict of interest may be involved. A conflict would arise when the employee, officer, agent, any member of his or her immediate family, his or her partner, or an organization which employs or is about to employ any of the parties indicated herein has a financial or other interest in the organization selected for an award. The officers, employees, and agents of the vendor, if selected as the career planning system vendor, shall neither award nor offer gratuities, favors, nor anything of monetary value from vendors or subcontractors.

#### 4.4 Discrimination

#### **Alabama Non-Discrimination Statement:**

No person shall be denied employment, be excluded from participation in, be denied the benefits of, or be subjected to discrimination in any program or activity on the basis of disability, gender, race, religion, national origin, color, age, genetic information, or any other category protected under the law. Ref: Sec. 1983, Civil Rights Act, 42 U.S.C.; Title VI and VII, Civil Rights Act of 1964; Rehabilitation Act of 1973, Sec. 504; Age Discrimination in Employment Act; the Americans with Disabilities Act of 1990 and the Americans with Disabilities Act Amendments Act of 2008; Equal Pay Act of 1963; Title IX of the Education Amendment of 1972; Title II of the Genetic Information Nondiscrimination Act of 2008. Title IX Coordinator, P.O. Box 302101, Montgomery, Alabama 36130-2101 or call (334) 694-4717.

### 4.3 Artificial Intelligence (AI) Systems

#### AI Model Development and Use Attestation:

The proposal(s) shall include a written statement attesting to the following:

- a. <u>Pretrained AI System</u>: The AI System to be provided in the performance of this RFP have been pretrained.
- b. <u>Responsibility in AI System Development</u>: The vendor used all responsible measures necessary during the development of the AI System to be provided in the performance of this RFP.
- c. <u>Human-in-the-Loop AI System Training</u>: A human-in-the-loop approach was used, wherever possible, in the training of the AI System, ensuring human oversight and intervention were integral parts to the AI System training process.
- d. <u>Unbiased Data Selection and Algorithm Development</u>: The process of data selection for AI System training was conducted in an unbiased manner, wherever possible, adhering to the principles of fairness and non-discrimination.
- e. <u>Expected Outcomes</u>: The results produced by the AI System met the expected outcomes as identified and defined by the vendor.

Any vendor further attests and agrees that any violation of this subsection will be considered a material breach of this RFP, and may result in its termination, legal action, or both, at the sole discretion of the ALSDE.

If any vendor's software solution does not use, contain or incorporate Artificial Intelligence (AI), the proposal(s) shall include a written statement attesting that the software solution does not use, contain, or incorporate any AI.

# **APPENDIX "A"**

	te of) unty of)
	RTIFICATE OF COMPLIANCE WITH THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535, as ended by ACT 2012-491)
DA	TE:
RE	Contract/Grant/Incentive (describe by number or subject):
	by and between (Contractor/Grantee) and (State Agency, Department or Public Entity
The	e undersigned hereby certifies to the State of Alabama as follows:
1.	The undersigned holds the position of with the Contractor/Grantee named above, and is authorized to provide representations set out in this Certificate as the official and binding act of that entity, and has knowledge of the provisions of THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535 of the Alabama Legislature, as amended by ACT 2012-491) which is described herein as "the Act."
2.	Using the following definitions from Section 3 of the Act, select and initial either (a) or (b), below, to describe the Contractor/Grantee's business structure.
	<u>BUSINESS ENTITY</u> . Any person or group of persons employing one or more persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood, whether for profit or not for profit.
	a. Self-employed individuals, business entities filing articles of incorporation, partnerships, limited partnerships, limited liability companies, foreign corporations, foreign limited partnerships, and foreign limited liability companies authorized to transact business in this state, business trusts, and any business entity that registers with the Secretary of State.
	b. Any business entity that possesses a business license, permit, certificate, approval, registration, charter, or similar form of authorization issued by the state, any business entity that is exempt by law from obtaining such a business license, and any business entity that is operating unlawfully without a business license.
	EMPLOYER. Any person, firm, corporation, partnership, joint stock association, agent, manager, representative, foreman, or other person having control or custody of any employment, place of employment, or of any employee, including any person or entity employing any person for hire within the State of Alabama, including a public employer. This term shall not include the occupant of a household contracting with another person to perform casual domestic labor within the household.
	(a) The Contractor/Grantee is a business entity or employer as those terms are defined in Section 3 of the Act.
	(b) The Contractor/Grantee is not a business entity or employer as those terms are defined in Section 3 of the Act.
3.	As of the date of this Certificate, the Contractor/Grantee does not knowingly employ an unauthorized alien within the State of Alabama and hereafter it will not knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama;
4.	The Contractor/Grantee is enrolled in E-Verify unless it is not eligible to enroll because of the rules of that program or other factors beyond its control.
Cei	rtified this day of 20
	Name of Contractor/Grantee/Recipient
	By:
	Its
	e above Certification was signed in my presence by the person whose name appears above, on security and the contract of the co
	WITNESS:
	Printed Name of Witness